



Form No. 1.0

Texas Diligent Effort Affidavit

Form may serve as evidence that diligent effort was performed in accordance with [Texas Insurance Code §981.004](#).

BROKER INFORMATION

Retail Broker: _____

Retail Broker License No.: _____

Surplus Lines Broker: _____

Surplus Lines Broker License No.: _____

Broker Type: Wholesale Broker Managing General Agent

POLICY INFORMATION

Policy/Binder No.: _____

Insured Name: _____

Coverage Type: Personal Commercial

CARRIER INFORMATION

Surplus Lines Carrier: _____

Declination(s): _____

Declination Reason: Capacity Reached Underwriting Reason Other

Comment (if any): _____

SURPLUS LINES PRINCIPAL BROKER ACKNOWLEDGEMENT

An attempt to place this business with the admitted market was performed.

Signed: _____ Date: _____

Printed Name: _____

Attach additional correspondence as evidence and file with SLTX and/or keep for your records in the event of audits, investigations, or lawsuits.

Texas does not have an export or white list for surplus lines coverage. Workers' compensation and private passenger auto are prohibited coverages in the Texas excess and surplus lines market.

*Exempt commercial purchasers ([TIC 981.0031](#)) need not provide evidence of diligent effort.

Disclaimer: SLTX is not liable for determining if diligent effort has occurred, as determinations are made in Texas by a court of law.